

PATENT

(Practitioner's Docket No. IN-5544)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Wolfgang BREMSER

Serial No. 10/018,350

Filed: December 7, 2001

For COLORING AND/OR EFFECT-CREATING MULTILAYER ENAMEL COATING, METHOD FOR THE PRODUCTION THEREOF AND ITS USE Group Art Unit: Not Assigned

Examiner: Not Assigned

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office fax No.: (703) 872-9310 on April 28, 2003.

Marjorie Ellis

PETITION FOR RECONSIDERATION OF THE NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

Box Missing Parts
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants petition for reconsideration of the Notification of Missing Requirements received for the above referenced application. The Notification of Missing Requirements indicates that the oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it: does not identify the application to which it is directed.

After receiving the Notification of Missing Requirements, the Combined Declaration/Power of Attorney was compared with the PCT Published Application to which it pertains, and all information matched up. No error was found

Submitted herewith are true copies of the Combined Declaration/Power of Attorney, PCT Published Application (cover sheet only), and the Return Receipt Postcard. Also submitted herewith is a copy of the Notification of Missing Requirements.



Applicant's Attorney verifies that all copies are true copies of the originals filed with the Receiving Office of the USPTO.

In view of the above stated facts and documentation, Applicants assert the Notification of Missing Requirements was in error. Accordingly, withdrawal of the Notification of Missing Requirements is respectfully requested.

Applicant believes that no fee is required. However, if applicant has inadvertently overlooked the need for a fee the Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 23-3425.

This form is submitted in duplicate.

Respectfully submitted,

Mary E./Golota

Registration No. 36,814

Date: April 28, 2003

BASF Corporation 26701 Telegraph Road Southfield, MI 48034-2442 (248)-948-2020



PATENT

(Practitioner's Docket No. IN-5544)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Wolfgang BREMSER

Serial No.: This application is a National

Phase of Patent Application

PCT/EP00/00/05825 filed 23. June 2000.

Filed: December 7, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For COLORING AND/OR EFFECT-CREATING MULTILAYER ENAMEL COATING, METHOD FOR THE PRODUCTION THEREOF AND ITS USE

Assistant Commissioner of Patents 2900 Crystal Drive Box PCT Arlington, VA 22202-3513

EXPRESS MAIL CERTIFICATE

"Express Mail" label number E332278641US

Date of Deposit

December 7, 2001

I hereby certify that the following attached paper or fee

X Combined Declaration and Power of Attorney

02 Page(s

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, 2900 Crystal Drive, Box PCT, Arlington, VA 22202-3513

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee)

Note: Each paper must have its own cortificate and the "Express Mail" label number as a part thereof or attached thereb. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies, identification should include the serial number and filling date of the application as wall as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the senal number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

supplemental priority data sheet PTO/SB/02B attached hereto

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	OCT 2 7 2004	DECLA	RATION FOR UTIL	ITY OR	Attorney Docket No.	IN - 5544			
B	OCT 2 7 2004	Į.	DESIGN	<u> </u>	irst Named Inventor				
	<u>,</u>	PA'	PATENT APPLICATION			MPLETE IF KNOWN			
`	PADEMARK	1 —	(37 CFR 1.63)						
		Declaration	or Decla	eration					
		Submitted	Subn	nitted after initial A	pplication Number				
		with initial	-	• •	iling Date	December 7, 2001			
		Filing	(37 C	FR 1.16 (e)) G	roup Art Unit				
			requi	red E	xaminer Name				
		As below named inventor	ventor, I hereby declare that:						
			nce, post office address and citizenship are as stated below next to my name.						
						and joint inventor (if plural names are			
		nated below) of the subje	act matter which is clain	ned and for which a patent	t is sought on the invent	ion entitled:			
		PRODUCTION	ID/OR EFFECT-CF THEREOF AND IT	REATING MULTILAY 'S USE	ER ENAMEL COA	TING, METHOD FOR THE			
			•	(Title of the Inve	ention)				
		The specification of which:							
is attached hereto									
		Was filed on 23 June 2000 as United States Application or PCT International Application Number PCT/ÉP00 and was amended on(if applicable).							
		I hereby state that I have reviewed and understand the contents of the above identified specification including the claims as amended by any amendment specifically referred to above.							
		I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application I hereby claim foreign priority benefits under 35. U.S.C § 119(a)-(d) or 365(b) of any foreign application(s) for patent or invencertificate or 365 (a) of any PCT International application(s) designating at least one country other than the United States of Amelisted below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or any international application(s) having a filing date before that of the application on which priority is claimed.							
		Prior Foreign Application Number(s)	Country	Foreign Filing Date DATE/MONTH/YEAR	Priority	Certified Copy Attached? Yes No			
]	199 30 066.6	GERMANY	30 JUNE 1999					
		Additional foreign application number are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:							
	I hereby claim the benefit under 35 U.S.C 119(e) of any United States provisional application(s) listed to								
APPLICATION NUMBER(S) FILING DATE						neadon(a) nated below:			
						Additional provisional application			
						numbers are listed on a			

Page -1-

U.S. DEPARTMENT OF COMMERCE-Patent and Trademark Office

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	e OF SOLE OR FIRS	T NVENT	OR:	A petition invento	on has been filed f r	or this unsigr	neđ		
Given Name (first and middle [if any) Inventor's // (Family Name BREMSER or Surname					
Signature / 2 1/00					Date 19.11. 2001				
	nce: City D 48/65 Monst	State	Germany	Country	Federal Rebublic of Germany	Citizenship	German		
Mailing	Address Am Broo	k 10		J	.!	<u> </u>			
City	D-48165 Munster	State	Germany		Zip		•		

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Declaration for Utility or Design Patent Application (PTO/SB/01) [1-1 1]



(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum Internationales Büro



(43) Internationales Veröffentlichungsdatum 11. Januar 2001 (11.01.2001)

PCT

(10) Internationale Veröffentlichungsnummer WO 01/02102 A2

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B05D

(21) Internationales Aktenzeichen:

PCT/EP00/05825

(22) Internationales Anmeldedatum:

23. Juni 2000 (23.06.2000)

(25) Einreichungssprache:

Deutsch

(26) Veröffentlichungssprache:

Deutsch

(30) Angaben zur Priorität:

199 30 066.6

30. Juni 1999 (30.06.1999) DE

- (71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von US): BASF COATINGS AG [DE/DE]; Glasuritstr. 1, D-48165 Münster (DE).
- (72) Erfinder; und
- (75) Erfinder/Anmelder (nur für US): BREMSER, Wolfgang [DE/DE]: Am Brook 10, D-48165 Münster (DE).
- (74) Anwalt: FITZNER, Uwe; Lintorfer Str. 10, D-40878 Ratingen (DE).

- (81) Bestimmungsstaaten (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Bestimmungsstaaten (regional): ARIPO-Patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), eurasisches Patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), europäisches Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI-Patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Veröffentlicht:

 Ohne internationalen Recherchenbericht und erneut zu veröffentlichen nach Erhalt des Berichts.

Zur Erklärung der Zweibuchstaben-Codes, und der anderen Abkürzungen wird auf die Erklärungen ("Guldance Noies on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.

- (\$4) Title: COLORING AND/OR EFFECT-CREATING MULTILAYER ENAMEL COATING, METHOD FOR THE PRODUCTION THEREOF AND ITS USE
- (54) Bezeichnung: FARB- UND/ODER EFFEKTGEBENDE MEHRSCHICHTLACKIERUNG, VERFAHREN ZU IHRER HERSTELLUNG UND IHRE VERWENDUNG
- (57) Abstract: The invention relates to a coloring and/or effect-creating multilayer enamel coating ML which is provided for a primed of non-primed substrate and which contains, in an overlapping manner. (1) a filler coat FL which absorbs mechanical energy, and (2) a coloring and/or effect-creating finishing enamel coating DL; or (1) a filler coat FL which absorbs mechanical energy, (2) a coloring and/or effect-creating base enamel coating BL, and (3) a clear enamel coating KL; or (1) a coloring and/or effect-creating base enamel coating BL, and (2) a clear enamel coating BL, and (2) a clear enamel coating KL. At least one coat, preferably at least two coats and especially all coats of the multilayer enamel coating ML is/are made of a coating material that contains at least one constituent (A) which can be produced in an aqueous medium by radically polymerizing; a) olefinically unsaturated monomers and; b) olefinically unsaturated monomers which are different from the olefinically unsaturated monomers cited in a) and which are of formula (1) R¹R²C=CR³R⁴, wherein the radicals R¹, R², R³ and R⁴, independent of one another, represent hydrogen atoms or radicals of alkyl, cycloalkyl, alkyleycloalkyl, cycloalkyl, aryl, alkylaryl, cycloalkylaryl, arylalkyl or aryleycloalkyl, whereby at least two are radicals of aryl, arylalkyl or aryleycloalkyl.
- (57) Zusammenfassung: Farb- und/oder effektgebende Mehrschichtlackierung ML für ein grundiertes oder ungrundiertes Substat, die (1) eine mechanische Energie absorbierende Füllerschicht FL und (2) eine farb- und/oder effektgebende Decklackierung DL oder (1) eine mechanische Energie absorbierende Füllerschicht FL (2) eine farb- und/oder effektgebende Basislackierung BL und (3) eine Klarlackierung KL oder (1) eine farb- und/oder effektgebende Basislackierung BL und (2) eine Klarlackierung KL übereinanderliegend enthält, wobei mindestens eine Schicht, vorzugsweise mindestens zwei Schichten und insbesondere alle Schichten der Mehrschichtlackierung ML aus einem Beschichtungsstoff hergestellt worden ist oder sind, welcher mindestens einen Bestandteil (Å)

PAGE 14/17 * RCVD AT 10/27/2004 8:47:48 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/1 * DNIS:7464060 * CSID:1 248 948 2093 * DURATION (mm-ss):04-32 = sărtigran



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UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/018.350 Wolfgang Bremser IN-5544 INTERNATIONAL APPLICATION NO. PCT/EP00/05825 26922 LA. FILING DATE PRIORITY DATE **BASF CORPORATION** 06/23/2000 06/30/1999 ANNE GERRY SABOURIN 26701 TELEGRAPH ROAD SOUTHFIELD, MI 48034-2442 **CONFIRMATION NO. 4876 371 FORMALITIES LETTER** KHILD FARENT DEF Date Mailed: 03/20/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Assignee Statement
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- · Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - does not identify the application to which it is directed.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

Oct.27. 2004 8:49AM

OCT 2 7 2004

Page 2 of 2

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FREDERICK SMITH

Telcphone: (703) 305-3654

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	. ATTY, DOCKET NO.
10/018.350	PCT/EP00/05825	IN-5544

FORM PCT/DO/EO/905 (371 Formalities Notice)

